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[Click here](#) before or during POP to submit your questions for the Ask the Oracles session.

### [POP RESOURCE PAGE](#)



<https://bit.ly/3zgGwOO>

### [ATTENDANCE VERIFICATION FOR CE](#)



<https://tinyurl.com/2023POP>



## CONFERENCE INFO

Marriott Atlanta Northeast/Emory Area  
Century East Conference Room  
2000 Century Boulevard NE, Atlanta, GA 30345

April 17 – April 18, 2023  
08:00 AM – 5:00 PM ET

### Attire:

- Smart Casual (mix of informal and work attire; e.g., jeans & collared shirt), or
- Business Casual

Note: The conference room may be cool – Consider a blazer or light sweater.

## HOTEL

Marriott Atlanta Northeast/Emory Area  
2000 Century Boulevard NE  
Atlanta, GA 30345

Check in: 3:00 pm ET  
Check out: 12:00 pm ET  
Parking: \$12/day

- Restaurant, Bar and Starbucks are all located in the hotel

## AIRPORT

Hartsfield-Jackson Airport (approximately 18 miles from the hotel)

- [Click here](#) for Airport transportation options.
- [Click here](#) to get to know Atlanta and discover things to do around the city.

## CANCELLATION POLICY

Cancellation requests must be sent via email to [events@ferenczylaw.com](mailto:events@ferenczylaw.com). Refunds will be accommodated based on the date the request is received:

- If received between March 15 – April 1, 2023, a 50% refund will be granted.
- Refunds cannot be accommodated if received after April 1, 2023.
- Registration transfers are accommodated if received by April 8, 2023.



## BARBECUE

Join us for a laid-back evening of delicious food and beverages hosted by the one and only Ilene Ferenczy. The event will be held at her home on Monday night, where folks will unwind and enjoy amazing food and drinks, while networking in an incredibly relaxed and comfortable setting.

This will also give you a chance to put a face to the name of your favorite FBLC attorneys and staff, as well as to meet colleagues and start friendships that can last for your professional lifetime. You won't want to miss it!

Date: Monday, April 17, 2023  
Start: Meet in hotel lobby at 5:30 pm for bus boarding; 5:45 arrival  
End: 8:45 pm  
Location: Ilene's House  
Transport: Bus transportation will be provided to and from the hotel

Attire: Casual: The atmosphere is very laid back.  
Please bring your name badge!



## AGENDA

**MONDAY, APRIL 17, 2023**

**CONFERENCE DAY 1**

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08:00 – 08:30	<b>Continental Breakfast</b>	
08:30 – 08:45	<b>Conference Welcome</b>	<i>Alison Cohen</i>
08:45 – 10:45	<b>Session 1 Keeping Current</b>	<i>Ilene Ferenczy, S. Derrin Watson</i>
10:45 – 11:00	<b>Break/Snack</b> – Brought to you by: Moore Colson	
11:00 – 12:00	<b>Session 2 Legislative and Regulatory Update</b>	<i>Ilene Ferenczy, Alison Cohen, S. Derrin Watson</i>
12:00 – 01:00	<b>Lunch</b> – Brought to you by: Transamerica	
01:00 – 01:15	<b>ERISApedia Demo</b>	<i>S. Derrin Watson</i>
01:15 – 02:15	<b>Session 3 Mergers &amp; Acquisitions Primer</b>	<i>Ilene Ferenczy</i>
02:15 – 02:30	<b>Break/Snack</b> – Brought to you by: BenefitsLink	
02:30 – 04:30	<b>Session 4 Mergers &amp; Acquisitions Immersive</b>	<i>The Ferenczy Players</i>
05:30 – 05:45	<b>Meet in Hotel Lobby at 5:30 pm to board the bus for the Barbecue</b>	
05:45 – 08:45	<b>Barbecue hosted by Ilene Ferenczy</b>	



## AGENDA

**TUESDAY, APRIL 18, 2023**

**CONFERENCE DAY 2**

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08:00 – 08:30	<b>Continental Breakfast</b>	
08:30 – 09:20	<b>Session 5</b> <b>Controlled Group/ASG Primer</b>	<i>S. Derrin Watson, Adriana Starr</i>
09:20 – 11:00	<b>Session 6</b> <b>Controlled Group/ASG Immersive</b>	<i>The Ferenczy Players</i>
11:00 – 11:15	<b>Break/Snack</b> – Brought to you by: John Hancock	
11:15 – 12:15	<b>Session 7</b> <b>EPCRS</b>	<i>Adrienne Moore, Carolyn Cumbee, Leah Dean</i>
12:15 – 01:15	<b>Lunch</b> – Brought to you by: Colonial Surety	
01:15 – 02:15	<b>Session 8</b> <b>Reporting Back and Plan Redesign</b>	<i>Jason Douthit, Carolyn Cumbee, Lindsey Dolbeer</i>
02:15 – 02:30	<b>Break/Snack</b> – Brought to you by: Ferenczy Benefits Law Center	
02:30 – 03:30	<b>Session 9</b> <b>Ethics</b>	<i>Alison Cohen, Ilene Ferenczy</i>
03:30 – 04:30	<b>Session 10</b> <b>Ask the Oracles</b>	<i>Speaker Panel</i>
04:30 – 04:45	<b>Closing Remarks and Treats</b>	<i>Alison Cohen</i>



## SESSION DESCRIPTIONS

### Session 1 – Keeping Current

*Ilene H. Ferenczy and S. Derrin Watson*

SECURE 2.0 provisions are still on your mind, and some are already effective or looming large for 2023. Here's our review of these rules, as well as our practical suggestions for how to approach the changes that are coming up. (Okay, and we may also add some editorial comments!)

### Session 2 – Legislative and Regulatory Update

*Ilene H. Ferenczy, Alison J. Cohen, and S. Derrin Watson*

We'll continue our SECURE and SECURE 2.0 discussions (particularly if we get any guidance between now and the conference). In addition, we'll talk about the other guidance that has been issued by the IRS and DOL, as well as any other up-to-the-minute stuff you should know about.

### Session 3 – M&A Primer

*Ilene H. Ferenczy*

The first of 4 "deep dive" topics for this year's POP: this session will provide the primer that helps everyone remember the important rules for handling plan issues after a company acquisition or disposition, particularly when the client doesn't see fit to tell you about it until months after it happens! In addition to just talking about the issues, we'll discuss solutions for the problems that arise ... which will be of help in the next session!

### Session 4 – M&A Immersive

*The Ferenczy Players*

Here's where you get to experience an M&A dumpster fire in real time, and work with our lawyers and consultants to diagnose and consider how to repair the situation. It's like a Disney ride for pension nerds! This immersive session is like nothing you've ever seen before. Bring your experience, your knowledge, and what you just learned in the last session and apply it all to a real case!

### Session 5 – Controlled Group/ASG Primer

*S. Derrin Watson and Adriana M. Starr*

Here's our second "deep dive" topic. Join Derrin, the Yoda of controlled and affiliated service groups, for a discussion that will impart the knowledge you need to identify and analyze when these situations arise and what to do. Study with a master!



## SESSION DESCRIPTIONS (CONT.)

### Session 6 – Controlled Group/ASG Immersive

*The Ferenczy Players*

Remember, "there is no try, there is only do" and apply everything you just learned to our second immersive experience. I'll give you a Clue ... the Miss Peach Cobbler, Professor Plum, and Colonel Mustard companies need your help to move forward.

### Session 7 – EPCRS

*Adrienne I. Moore, Carolyn M. Cumbee, and Leah E. Dean*

Third "deep dive": you've seen the mess that our Clue players have made with their plans. Now, let's see how to put them back on the road to success. Join your heads and experience with those of our correction team and solve the problems of the past.

### Session 8 – Reporting Back and Plan Redesign

*Jason Douthit, Carolyn M. Cumbee, and Lindsey Dolbeer*

Fourth "deep dive": Now that we've diagnosed and repaired the myriad issues facing our clients, let's work together to figure out what they should do with their plans going forward. And remember: we not only want to document their plans correctly, but we want to plan for the future as Miss Peach Cobbler, LLC continues to take over the shoe and condiment industry, one company at a time!

### Session 9 – Ethics

*Ilene H. Ferenczy and Alison J. Cohen*

More chances for you to stretch your retirement plan thinking muscles. Life is full of ethical questions and gray areas, and you need to be prepared to deal with them all. Join our panel to apply the various Circular 230 and other ethical rules to interactive case studies. Who knows, an Academy Award is in your future!

### Session 10 – Ask The Oracles

*Ilene H. Ferenczy, Alison J. Cohen, S. Derrin Watson, Adrienne I. Moore, Carolyn M. Cumbee, Jason Douthit, Leah E. Dean, and Sara A. Liva*

If the sessions of the past two days have raised questions in your mind, here's the time to get those answered. Our panel will take on all your worries and give you technical and practical knowledge to resolve your dilemmas. Join us for the last lively session of the day.



## CONTINUING EDUCATION

### ASPPA/NIPA CE

2023 Pensions on Peachtree is designed to provide up to 15 hours of ASPPA/NIPA CE credits.

### ERPA CE

The conference is designed to provide up to 13 ERPA CE credits.

- **NOTE:** To receive ERPA CE, you must submit a valid PTIN via the following link before the conference ends on Tuesday, April 18, 2023 at 5:00 pm ET.

**ERPA ACTION REQUIRED:**

To Submit your PTIN, [Click here](#) or Scan this QR Code:  
<https://tinyurl.com/ERPAPTIN>



### CLE (Attorneys)

Georgia: The conference is designed to provide up to 12.5 CLE, which includes 1 ethics CLE.

### 2023 POP CE Detail

#	Session Name	Time (ET)	# ASPPA / NIPA CE	# ERPA CE	GA BAR CLE
1	Keeping Current	08:45 AM - 10:45 AM	2.4	2.0	2.0
2	Legislative Update	11:00 AM - 12:00 PM	1.2	1.0	1.0
3	M&A Primer	01:15 PM - 02:15 PM	1.2	1.0	1.0
4	M&A Immersive	02:30 PM - 04:30 PM	2.4	2.0	2.0
5	CG/ASG Primer	08:30 AM - 09:20 AM	1.0	1.0	0.8
6	CG/ASG Immersive	09:20 AM - 11:00 AM	2.0	2.0	1.7
7	EPCRS	11:15 AM - 12:15 PM	1.2	1.0	1.0
8	Reporting Back	01:15 PM - 02:15 PM	1.2	1.0	1.0
9	Ethics	02:30 PM - 03:30 PM	1.2	1.0	1.0
10	Ask the Oracles	03:30 PM - 04:30 PM	1.2	1.0	1.0
			<b>15.0</b>	<b>13.0</b>	<b>12.5</b>





## SPEAKERS AND FERENCZY PLAYERS

### Ilene H. Ferenczy, J.D., APA, CPC

Ilene is the Managing Partner of Ferenczy Benefits Law Center, an employee benefits law firm in Atlanta, GA. She advises clients on all types of employee benefit plans, particularly focusing her practice on qualified retirement plans, benefits issues in mergers and acquisitions, and advising third-party administrators of employee benefit programs on technical and practice issues. Having become an attorney after more than ten years as a third-party administrator, she brings a unique and practical approach to her advice. Ilene is a member of the State Bars of Georgia and California and holds designations as an Accredited Pension Administrator from the National Institute of Pension Administrators (NIPA), and a Certified Pension Consultant from the American Society of Pension Professionals and Actuaries (ASPPA). She is the author of *Employee Benefits in Mergers and Acquisitions* and the ERISApedia *Qualified Plan eSource*, a co-author of the ERISApedia *Plan Corrections eSource*, and is the co-editor-in-chief of the *Journal of Pension Benefits*.

### Alison J. Cohen, J.D., APA, APR

Alison is a Partner with Ferenczy Benefits Law Center where she commonly works with clients that have operational issues to guide them through the IRS and/or DOL corrective programs, prepare corrective filings, and prepare and support clients through an IRS and/or DOL audit. Prior to joining Ferenczy, Alison worked at a large, bundled service provider for nearly 16 years as an Associate Vice President, Compliance Manager and Regulatory Liaison. As part of her responsibilities there, Alison served as a national speaker on audit and correction issues. She served on the Employee Plans Subcommittee of the IRS Advisory Committee on Tax-Exempt and Governmental Entities (ACT). Alison is involved with the National Institute of Pension Administration (NIPA) and in 2019 received NIPA's Excellence in Education Award. Alison holds designations as an Accredited Pension Administrator (APA) and an Accredited Pension Representative (APR) from NIPA. Alison is the former first President and an active member of the Pension Education Council of Atlanta (PECA) and a co-author of *The Plan Correction eSource*. Alison speaks frequently on qualified retirement plan topics and issues, bringing a sense of humor and practical solutions to clients, colleagues, and industry professionals.

### S. Derrin Watson, J.D., APM

Derrin is an independent ERISA attorney and educator in Santa Barbara, California with over 40 years of experience in dealing with retirement plans. In addition to his solo practice, Derrin is Of Counsel to the Ferenczy Benefits Law Center and also works with FIS with Relius plan documents. Derrin received his bachelor's degree in accounting from BYU and in 1980 he graduated from UCLA Law School. He has been involved with qualified plans since 1977. He has become the leading authority on controlled group, affiliated service group, and leased employee issues. He is the author of *Who's the Employer*, the *403(b) Plan eSource*, the *457 Plan eSource*, and a co-author of the *Plan Correction eSource*, all published by ERISApedia.com. Derrin is a Senior Advisor of the *Journal of Pension Benefits*. He has a wife, two sons, four of the finest grandchildren on the planet, and four cats. His varied experiences range from doing standup comedy (yes, even about ERISA) to serving as a missionary for the Church of Jesus Christ of Latter-day Saints in Iran, to editing and writing books and newsletters on a variety of topics. He enjoys punctuating his ERISA presentations with original parodies.



## SPEAKERS AND FERENCZY PLAYERS (CONT.)

### Adrienne I. Moore, J.D.

Adrienne I. Moore, J.D., is a Senior Associate Attorney with Ferenczy Benefits Law Center, where she works on all types of qualified retirement plans and related issues. She analyzes operational and ERISA compliance plan issues, advises clients with respect to corrective procedures, prepares corrective filings for submission to the IRS and DOL, and assists various plan service providers with reporting and disclosure requirements in their client service agreements. In addition, Adrienne is a contributing author to *ERISA: A Comprehensive Guide* and writes articles for the Journal of Pension Benefits. Adrienne's studies at the University of Georgia School of Law in Athens, Georgia, included several courses in tax and business law. During law school, she was a notes editor for the Journal of Intellectual Property Law and later interned with the U.S. Securities and Exchange Commission. Prior to joining the Firm, Adrienne worked in the E-Discovery practice group of a large Atlanta law firm specializing in cases involving products liability and government investigations.

### Carolyn M. Cumbee, J.D., LL.M.

Carolyn is a Senior Associate Attorney with Ferenczy Benefits Law Center. With over 18 years' experience working with qualified plans, Carolyn assists clients with design, implementation, and administration of tax-qualified retirement plans (including 401(k), defined benefit, cash balance, and 403(b) plans). She frequently advises clients about compliance with ERISA rules, Internal Revenue Code rules, and the IRS and DOL formal and informal correction programs. She has assisted in employee benefits due diligence with respect to mergers and acquisitions, DOL and IRS audits, and advised clients on best practices and methods for correcting a wide range of plan failures. She also has significant experience in analyzing controlled group and affiliated service group matters. Prior to joining Ferenczy, Carolyn worked as an ERISA attorney for a third-party administrator for over 13 years. She also independently managed over 150 cases from complaint to hearing as a law clerk for the Illinois Department of Financial and Professional Regulation.

### Jason Douthit, J.D.

Jason is a Senior Associate Attorney with Ferenczy Benefits Law Center. For over 16 years, Jason has helped clients comply with various laws governing tax-qualified retirement plans and executive nonqualified deferred compensation plans. Jason performs due diligence and negotiates employee benefits matters in mergers and acquisitions, and helps with plan drafting and design issues. Jason is frequently asked to determine whether multiple companies are deemed a single employer for ERISA and IRC purposes (i.e., part of controlled or affiliated service groups). He represents clients in IRS and DOL audits. Jason helps clients correct errors related to their retirement plans through the IRS' EPCRS (which includes the VCP) and DOL VFCP. Prior to joining Ferenczy, Jason worked as an ERISA attorney with a prominent regional law firm and as the senior consultant at a highly regarded third party administrator. Jason earned a B.A. in Labor Studies with a minor in Philosophy from San Francisco State University and a Juris Doctorate from the University of California, Davis School of Law.



## **SPEAKERS AND FERENCZY PLAYERS (CONT.)**

### **Adriana M. Starr, J.D.**

Adriana is Of Counsel to Ferenczy Benefits Law Center, where she works on a range of qualified retirement plans and related issues. Adriana analyzes operational and ERISA compliance plan issues, advises clients with respect to corrective procedures, prepares corrective filings for submission to the Internal Revenue Service and the Department of Labor, and assists various plan service providers with reporting and disclosure requirements in their client service agreements. Prior to joining Ferenczy Benefits Law Center, Adriana worked at a regional third-party administrator for eight years. She was involved in the many facets of third-party administration, from processing plan participant distribution requests to leading the ERISA Services Team. Her responsibilities on the ERISA Services Team included plan document drafting, coordinating recordkeeper conversions, new client onboarding and orientation, and managing plan compliance issues.

### **Leah E. Dean, J.D.**

Leah is an Associate Attorney with Ferenczy Benefits Law Center where she works on qualified retirement plans and related issues. Leah advises clients with respect to corrective procedures, prepares corrective filings for submission to the IRS and the DOL, and prepares service agreements for a variety of service providers. Leah earned her B.A. from Tulane University in New Orleans, Louisiana and earned her J.D. from Emory University School of Law. While at Emory, Leah was an Articles Editor for the Emory Corporate Governance and Accountability Review. She also competed as a member of the Alternative Dispute Resolution Society's Mediation Team and was recognized as a member of the Order of Emory Advocates for her contributions to the team. Leah interned with the U.S. Department of Health and Human Services' Office of the General Counsel and clerked for an administrative judge at the Equal Employment Opportunity Commission.

### **Sara A. Liva, J.D.**

Sara A. Liva, J.D. is an associate attorney with Ferenczy Benefits Law Center where she works on a range of contracts, assessments, and corrections related to qualified retirement plans. Prior to joining Ferenczy Benefits Law Center, Ms. Liva worked as an ERISA attorney and general fund counsel for Taft-Hartley benefit plans and labor union affiliated retirement plans of various types. She also worked as Lead Staff Attorney for QDRO Group where she assisted attorney clients with complex issues related to Qualified Domestic Relations Orders. Sara graduated magna cum laude from Kent State University with a Bachelor's degree in mathematics, and a minor in computer science. She went on to obtain a Master's degree in bioethics from Case Western Reserve University, where she also attended law school after being awarded the University's Law-Medicine Scholarship. She also successfully completed the Society of Actuaries P1 exam. Sara's knowledge of the health care industry and financial mathematics provide her with a uniquely comprehensive understanding of the current issues facing employee benefit funds.



## **SPEAKERS AND FERENCZY PLAYERS (CONT.)**

### **Lindsey Dolbeer, QKC**

Lindsey is the Marketing Director and Consulting Team Co-Director for Ferenczy Benefits Law Center where she oversees the development of new products, leads marketing strategies, and assists clients with operational corrections. Lindsey earned her bachelor's degree in business administration (Finance) from Augusta State University in 2008. Prior to joining the FBLC team, Lindsey worked for a third-party administration firm for 14 years where she began in an entry level role and worked her way to plan administration, new business, and management roles. Her responsibilities ranged from running firm-wide projects and creating marketing materials to developing and implementing procedures and workflows across multiple departments. Lindsey attained the Qualified 401(k) Administrator (QKA) designation from ASPPA in 2010 and the Qualified 401(k) Consultant (QKC) designation from ASPPA in 2023. Lindsey currently serves as the Continuing Education VP for the Pension Education Council of Atlanta. She previously served as the Membership VP for the ASPPA Benefits Council of Atlanta.

### **Craig Lindenlauf, QKA**

Craig is the Consulting Team Co-Director for Ferenczy Benefits Law Center where he delivers consulting-based solutions to assist clients with plan compliance and operational corrections. Craig earned his Bachelor of Science degree in economics from West Chester State University in 1996. Craig has worked in the retirement plan industry for over 25 years. Prior to joining the FBLC team, Craig worked for a third-party administration firm for 15 years where he began as a client relationship manager and moved into a management role where he was responsible for managing a team of client relationship managers, processing, compliance, and participant call center associates. Craig attained the Qualified 401(k) Administrator (QKA) designation from ASPPA in 2011.



## THANK YOU TO OUR AMAZING SPONSORS!

